

Combining Imitation & Reinforcement Learning for Safe Autonomous Driving

Aleksandr Petiushko
apetiushko@nuro.ai
Nuro Research



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Nuro Contributors



Jonathan
Booher



Aleksandr
Petiushko



Khashayar
Rohanimanesh



Junhong
Xu

*Mentioned in the alphabetical order
And former colleagues!



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Imitation Learning

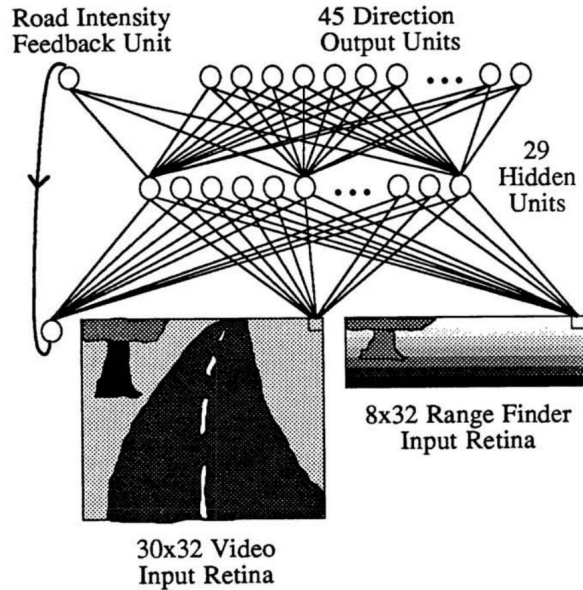


Figure 1: ALVINN Architecture

“NN can accurately drive the Ego Vehicle at a speed of 1/2 mps along a 400 m path through a wooded area under sunny fall conditions.”

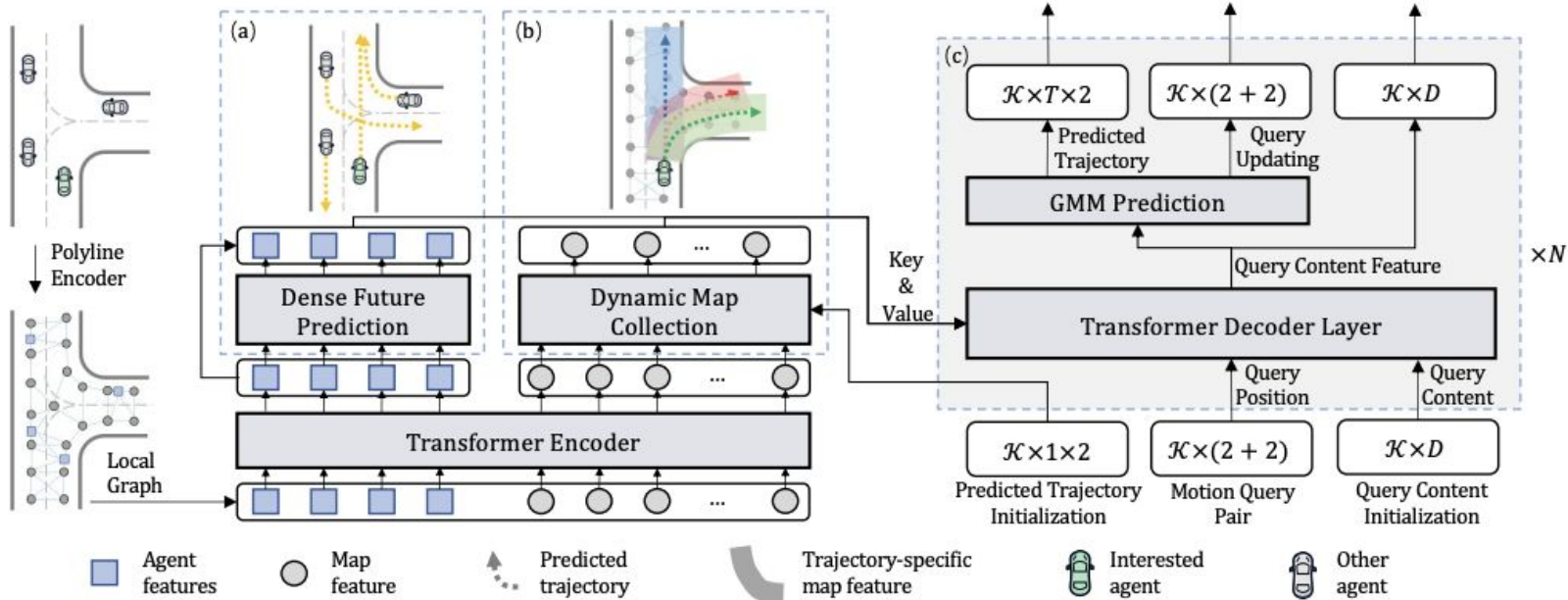
– Behavior Cloning from 1988 (!)

Pomerleau, Dean A. "Alvinn: An autonomous land vehicle in a neural network." 1988.

Imitation Learning

SotA Prediction model:

Motion Transformer (MTR and MTR++) from 2022-2023



Shi, Shaoshuai, et al. "Motion transformer with global intention localization and local movement refinement." 2022.
 Shi, Shaoshuai, et al. "MTR++: Multi-agent motion prediction with symmetric scene modeling and guided intention querying." 2023.

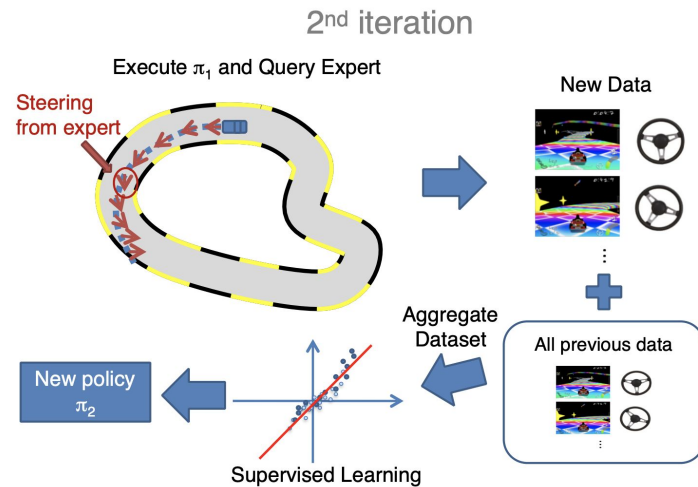
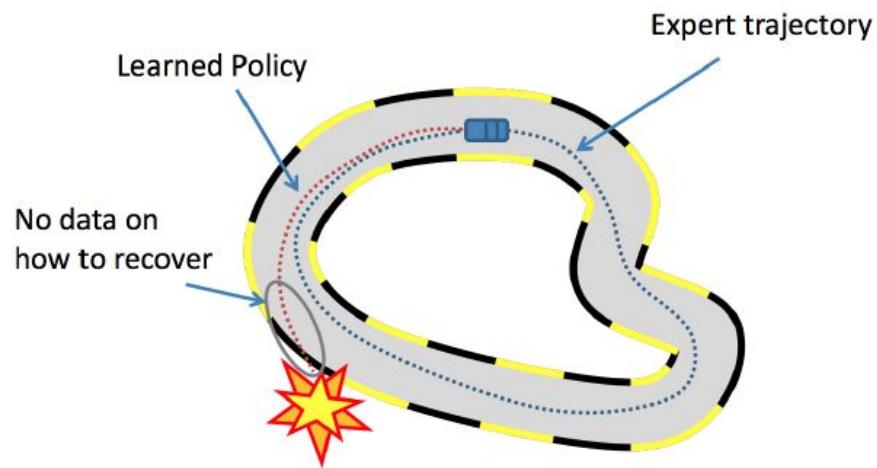
Imitation Learning

Pros:

- Simple constructive algorithm scaling with data

Cons:

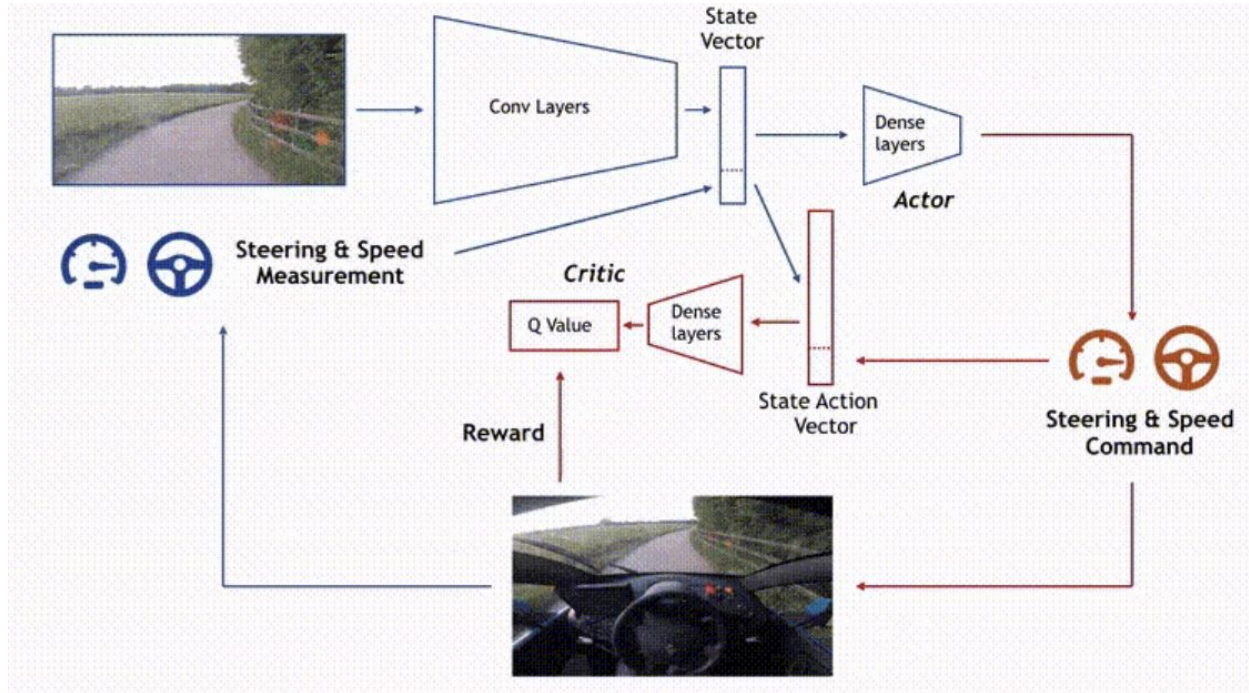
- Hard to stay "in distribution" (error quickly accumulates)
- Can be mitigated by Dataset Aggregation (DAgger) approach



Ross, Stéphane, Geoffrey Gordon, and Drew Bagnell. "A reduction of imitation learning and structured prediction to no-regret online learning." 2011.

Reinforcement Learning

Online, off-policy RL (DDPG) from 2018



Kendall, Alex, et al. "[Learning to drive in a day.](#)" 2018.

Reinforcement Learning

Pros:

- Adaptable to unseen scenarios
- Reasoning beyond imitation (hypothetical roll-outs)

Cons:

- Hard to define rewards (human-like behavior)
- Need reliable infrastructure for reliable estimation at scale



IL+RL

Status Quo:

- Very good imitation-based models (for Prediction, Planning)
- Models can be of different nature (ML-based, heuristic-based, simple geometric roll-outs, LLM-based for high-level reasoning, etc)
- RL policies need to deal with either discretization of the action space or with approximations of the policy gradients



What if:

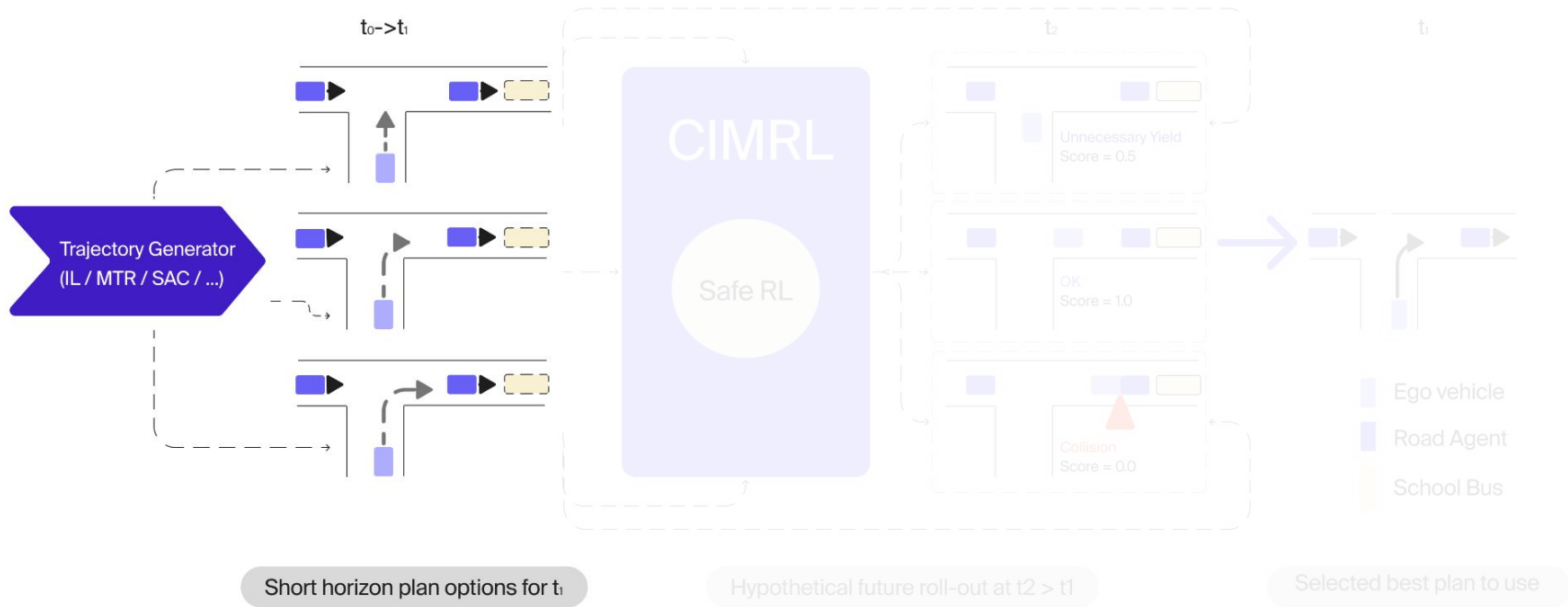
- We will re-use the imitation-based existing models, but
- Use RL algorithm to select from multiple IL generators



Plus:

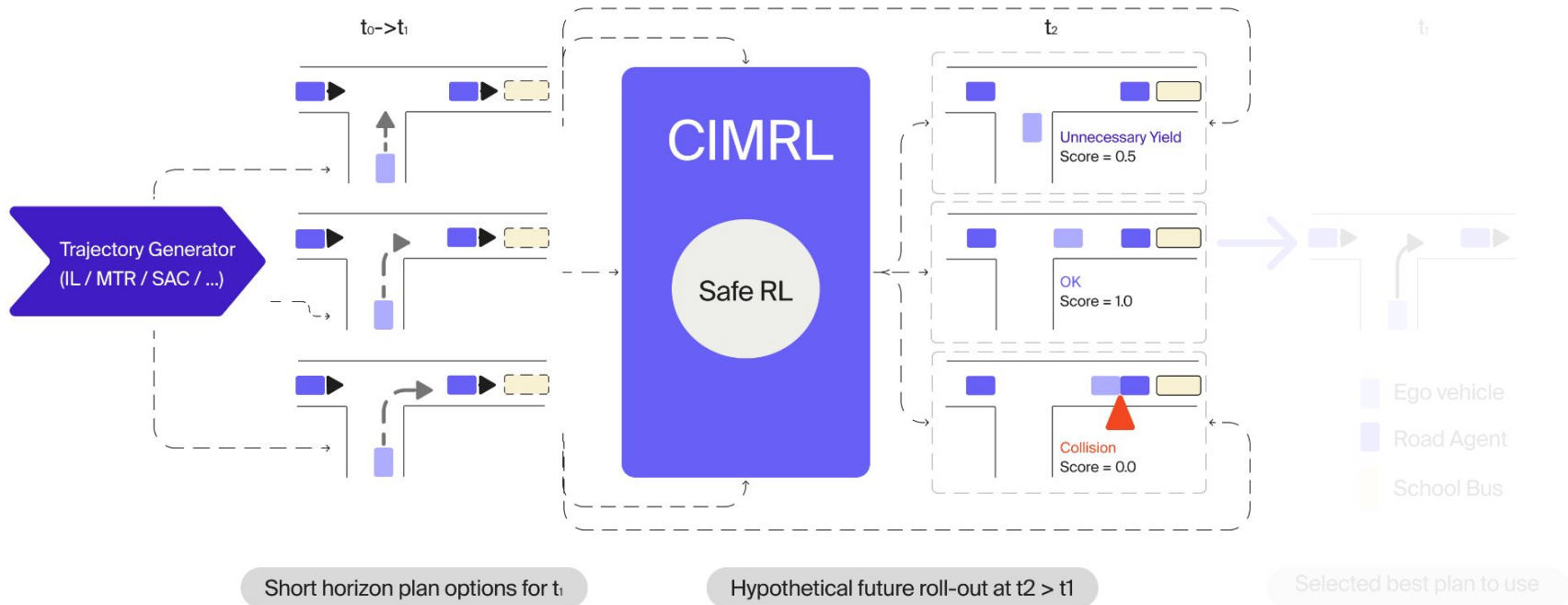
- We can concentrate on safety by doing hypothetical future roll-outs and remove / downvote dangerous plans, and provide behavior realism from IL

CIMRL: Combining IMitation and Reinforcement Learning



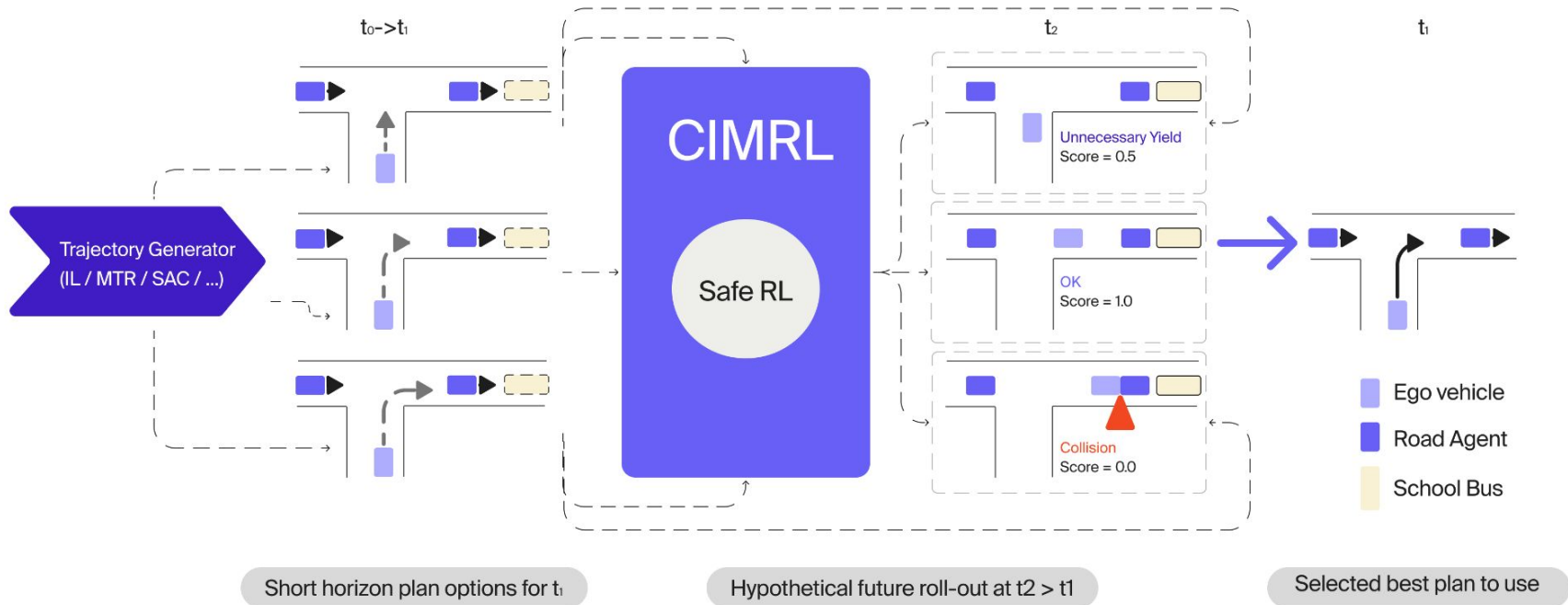
Booher, Jonathan, et al. "CIMRL: Combining IMitation and Reinforcement Learning for Safe Autonomous Driving." 2024.

CIMRL: Combining IMitation and Reinforcement Learning



Booher, Jonathan, et al. "CIMRL: Combining IMitation and Reinforcement Learning for Safe Autonomous Driving." 2024.

CIMRL: Combining IMitation and Reinforcement Learning



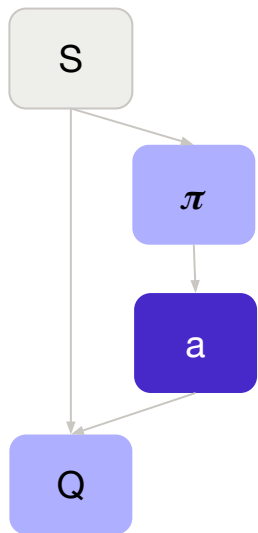
Booher, Jonathan, et al. "CIMRL: Combining IMitation and Reinforcement Learning for Safe Autonomous Driving." 2024.

CIMRL: Scoring

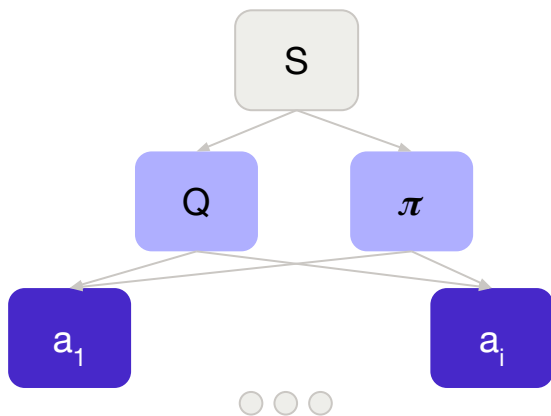
One more (:wink:) combination of:

- **Continuous** Action Space: able to provide the scoring for literally any planned trajectory
- **Discrete** Action Space: able to provide the correct probability distribution on top of any finite set of traject

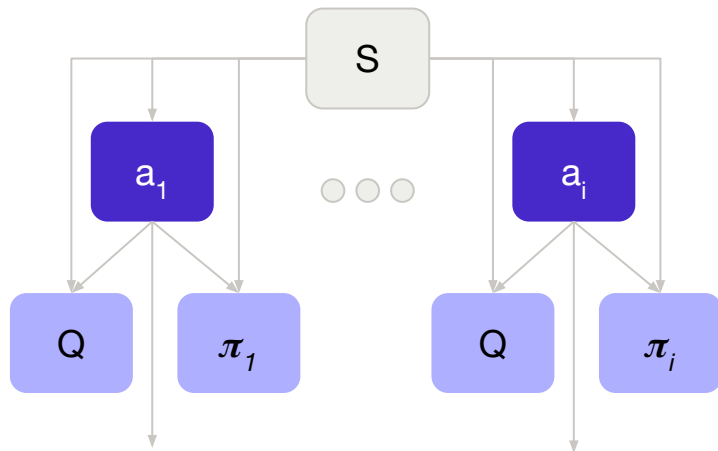
Continuous



Discrete



Ours



Haarnoja, Tuomas, et al. "Soft actor-critic: Off-policy maximum entropy deep reinforcement learning with a stochastic actor." 2018.
Christodoulou, Petros. "Soft actor-critic for discrete action settings." 2019.



CIMRL: Advantages

Scalability

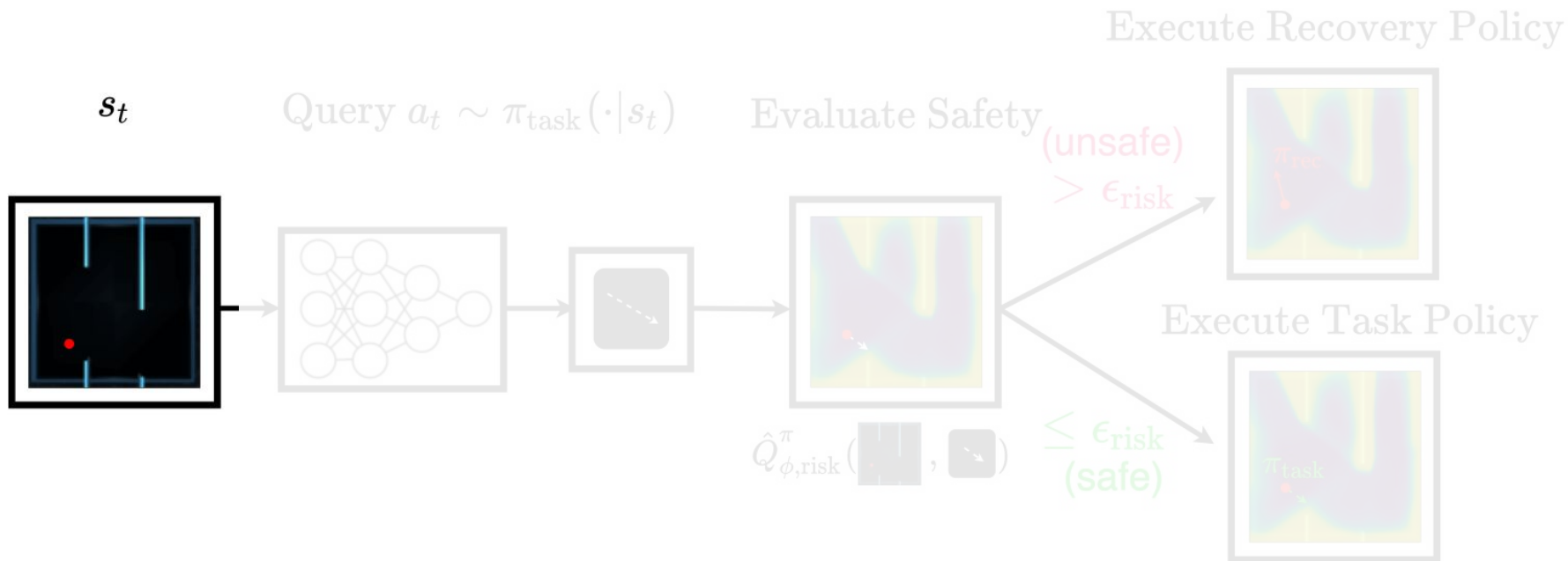
- Benefits from a lot of data which is directly improving IL-based methods



Flexibility

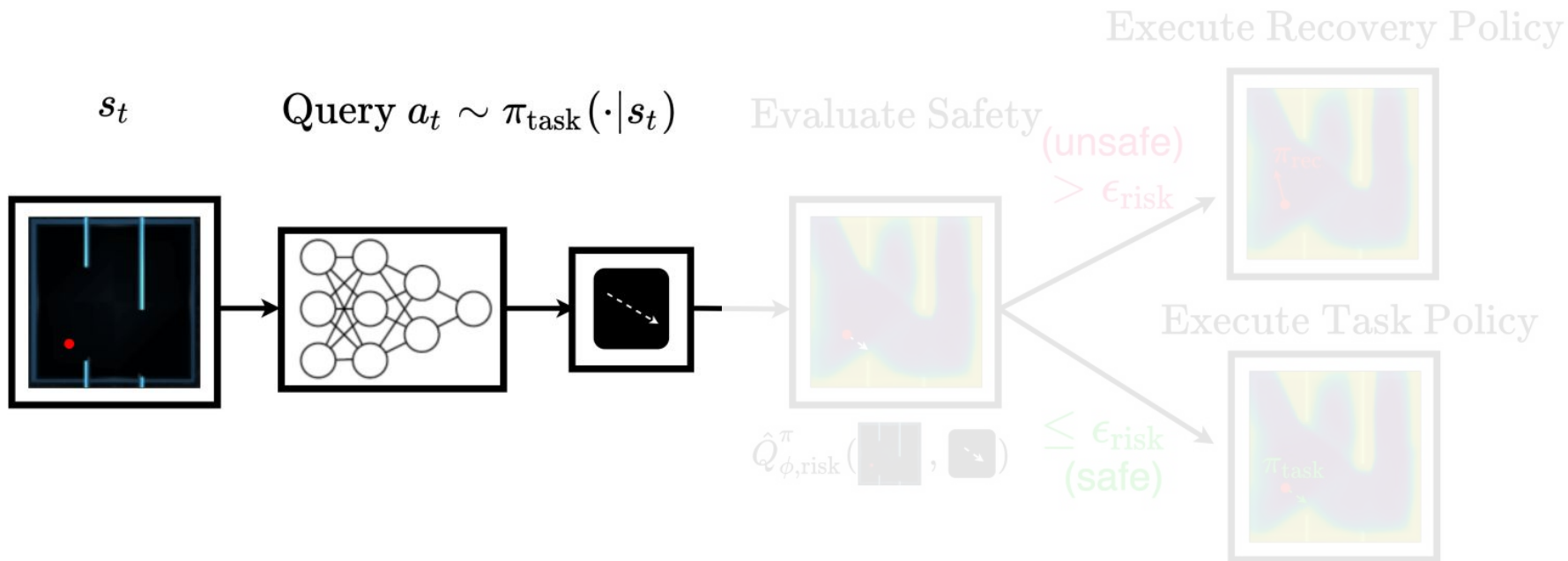
- Can be used as a framework for incorporating literally any Prediction or Planning model
- We can also incorporate the scores from those models as well!

Anatomy of the CIMRL Model: Recovery RL



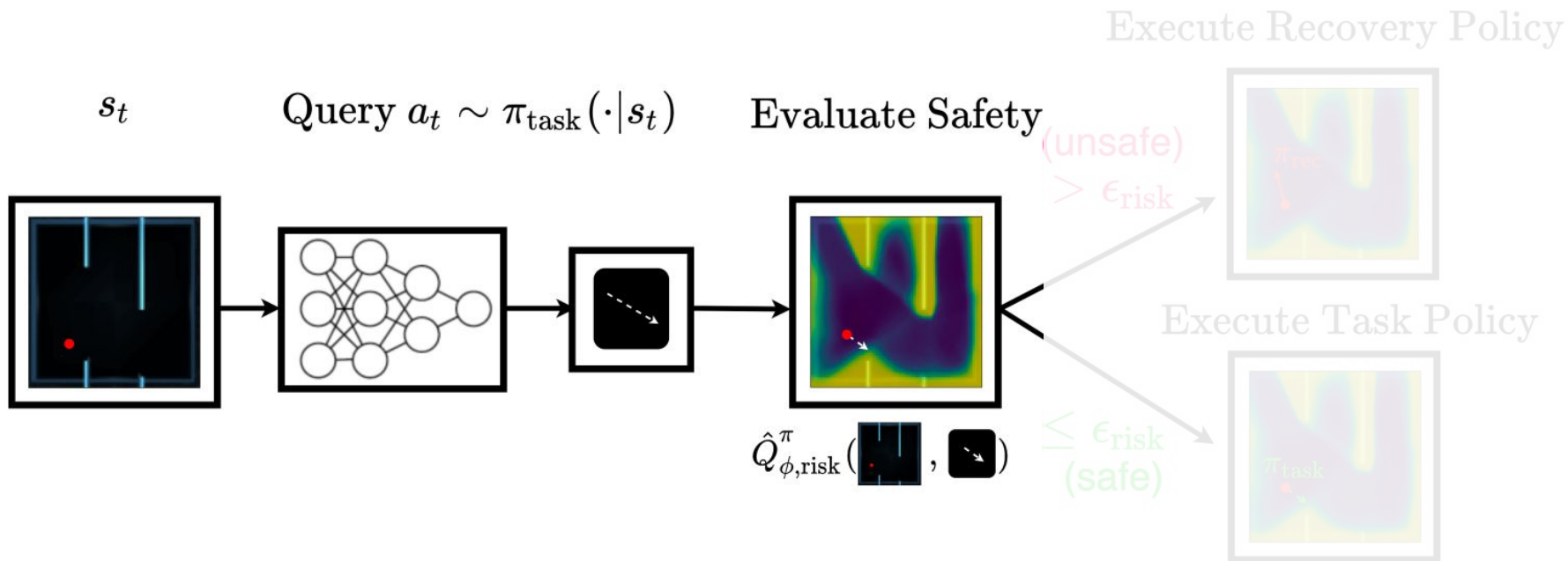
Thananjeyan, Brijen, et al. "Recovery RL: Safe reinforcement learning with learned recovery zones", 2021.

Anatomy of the CIMRL Model: Recovery RL



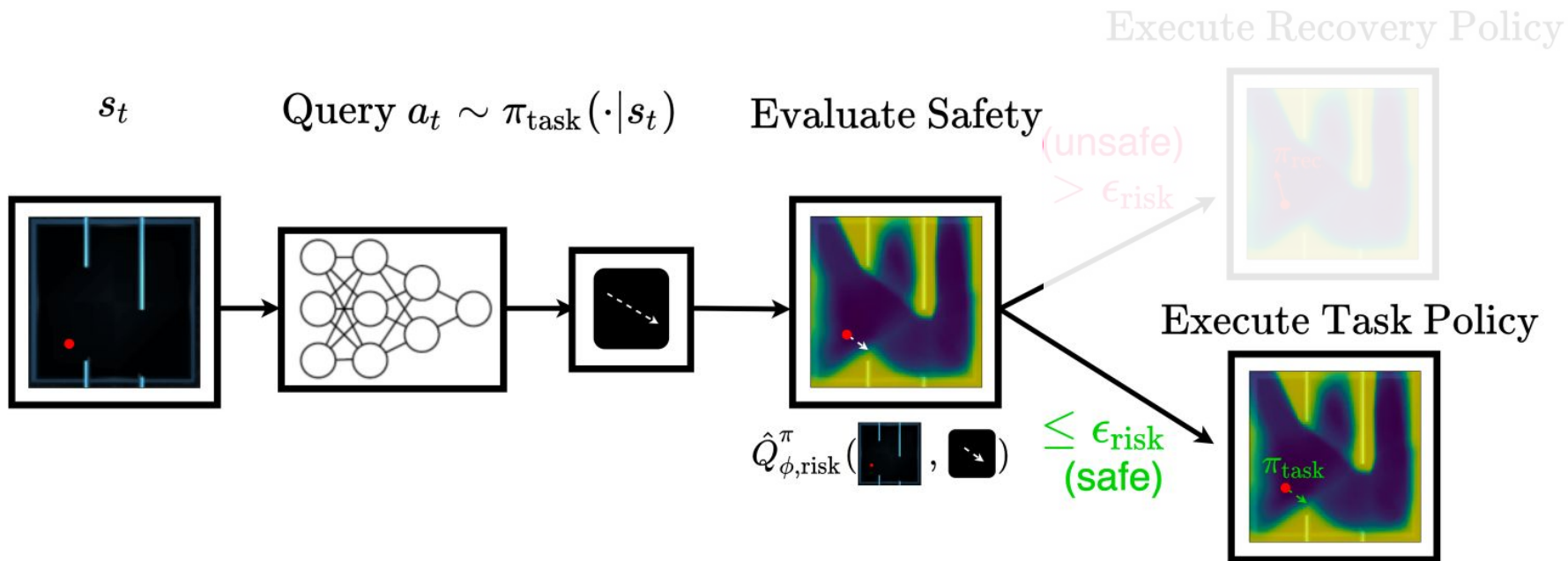
Thananjeyan, Brijen, et al. "Recovery RL: Safe reinforcement learning with learned recovery zones", 2021.

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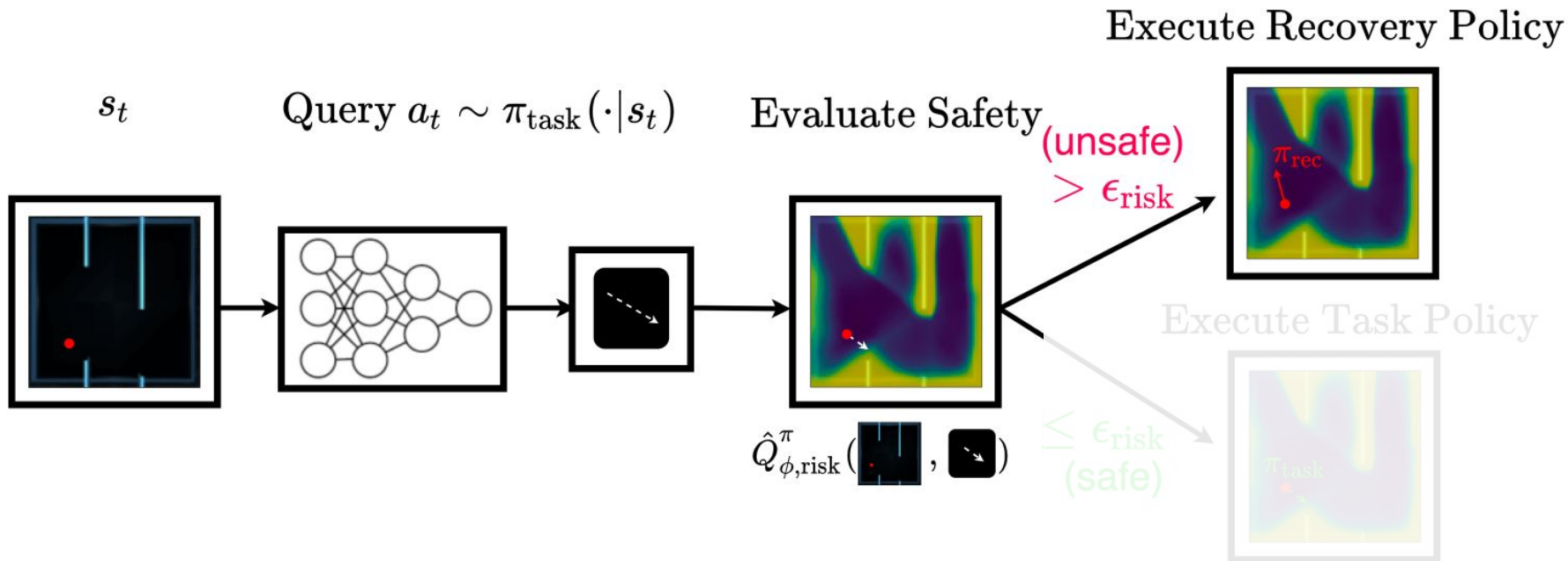
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Anatomy of the CIMRL Model: Recovery RL



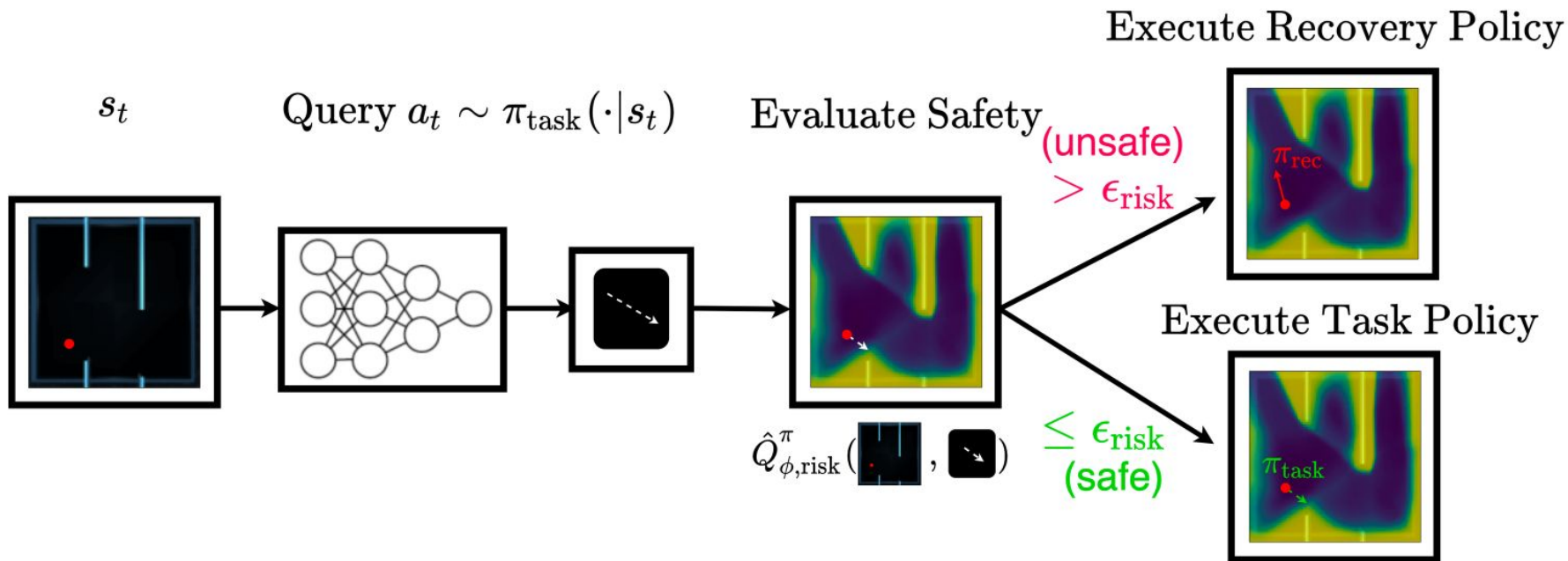
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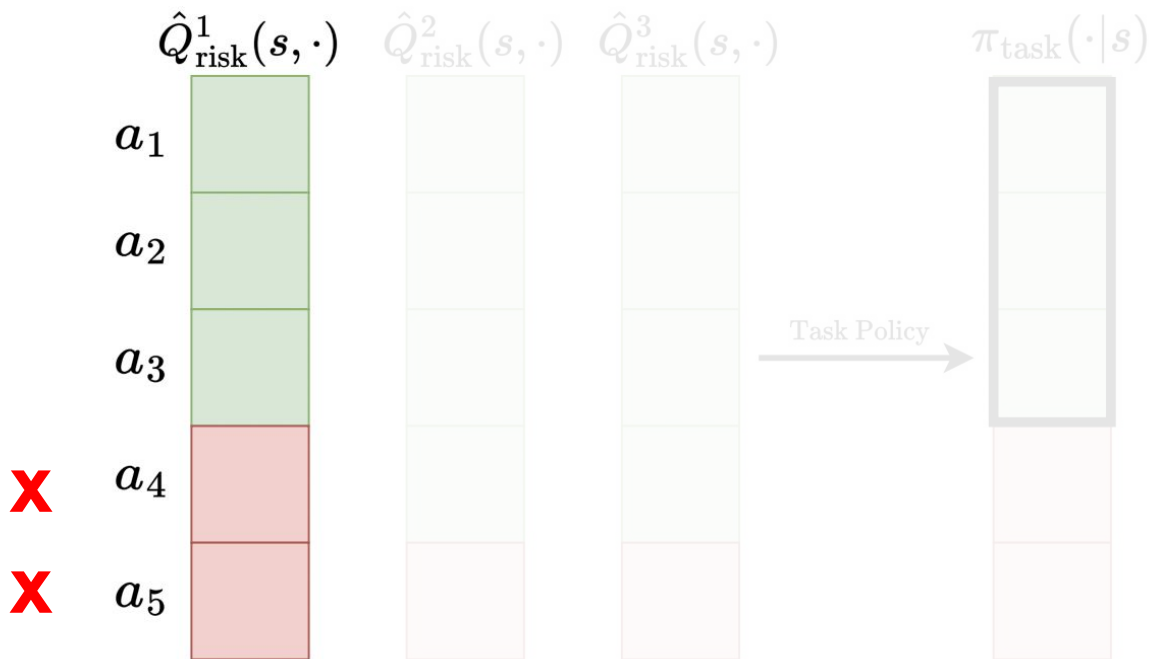
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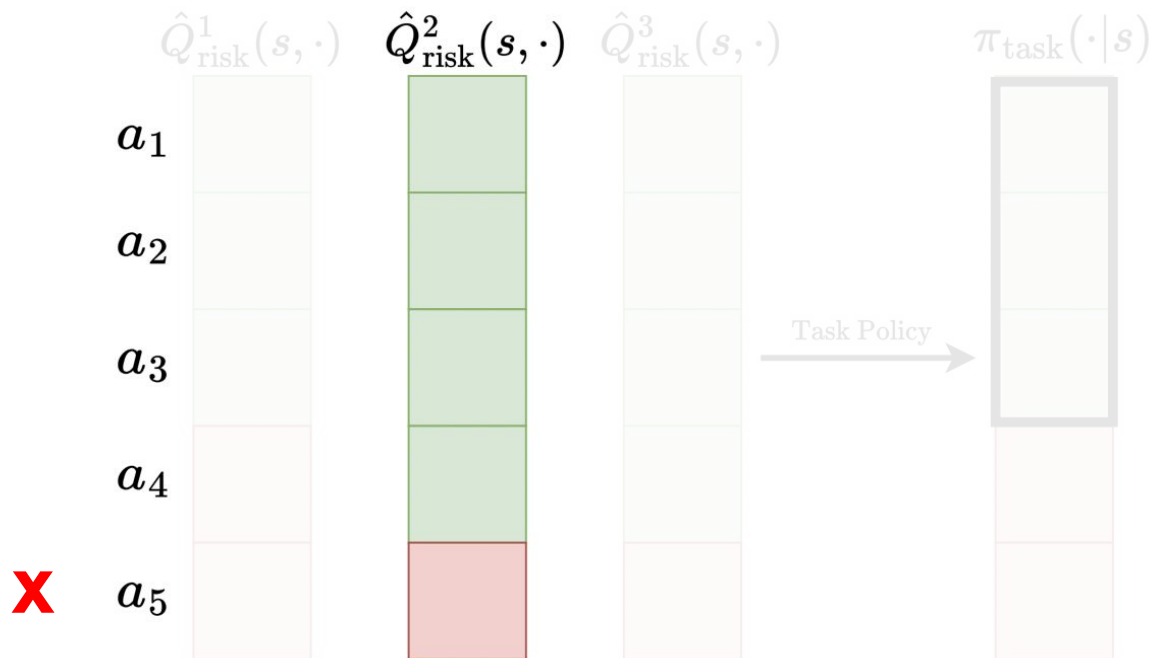
Constructing CIMRL

Mixed Policy: Safe Case



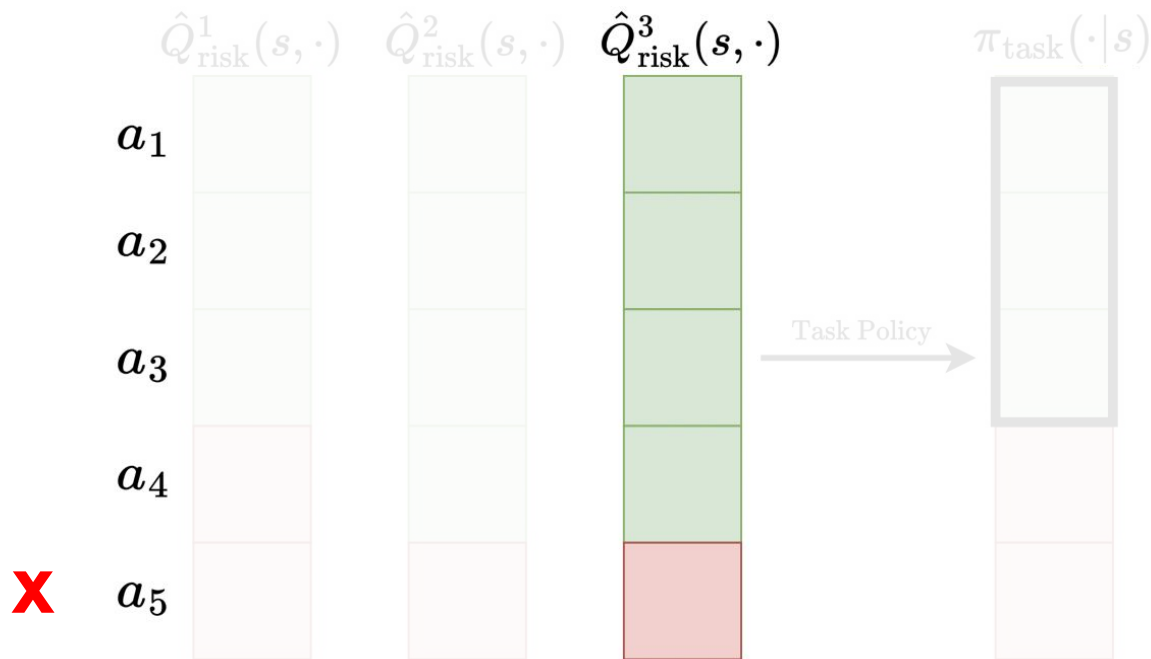
Constructing CIMRL

Mixed Policy: Safe Case



Constructing CIMRL

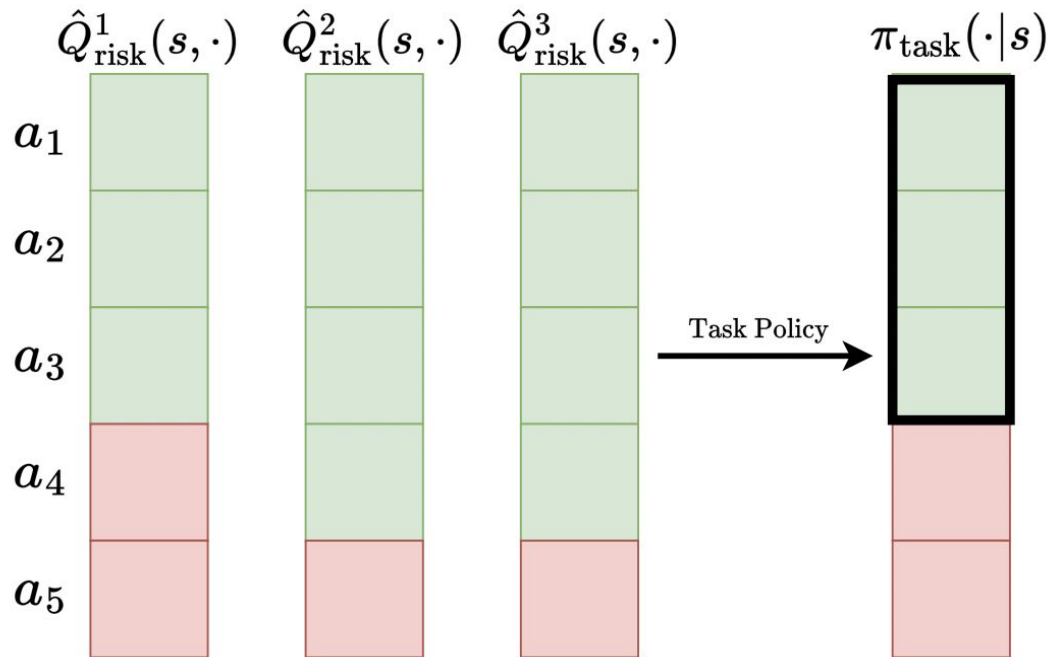
Mixed Policy: Safe Case



Constructing CIMRL

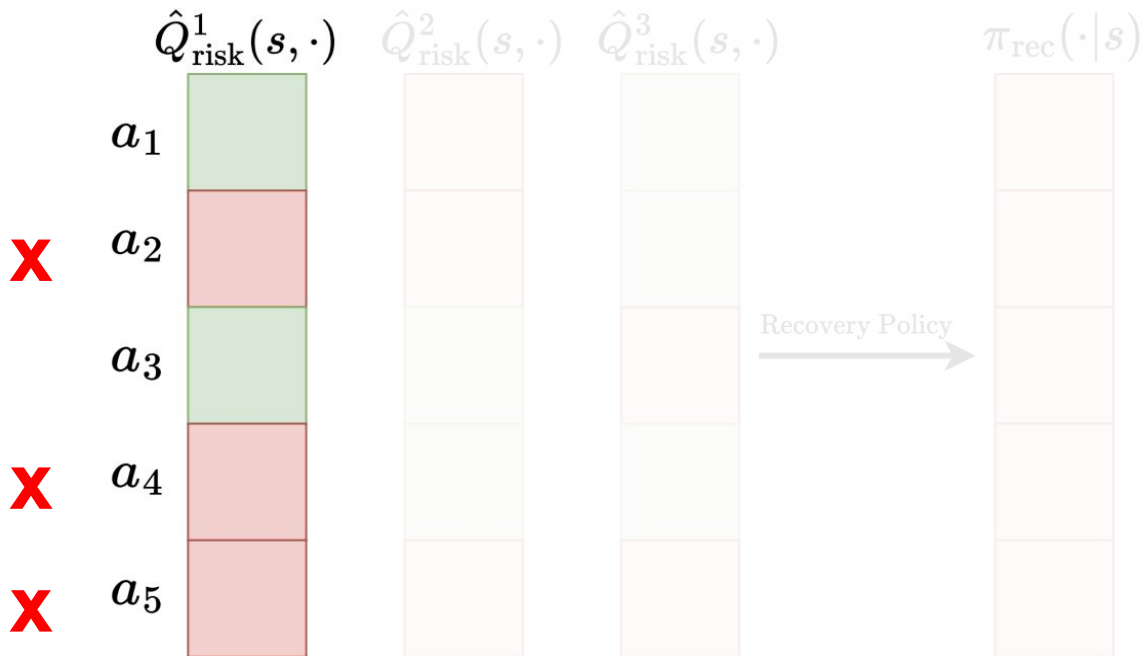
Mixed Policy: Safe Case

If there exist safe actions then sample from re-normalized task policy.



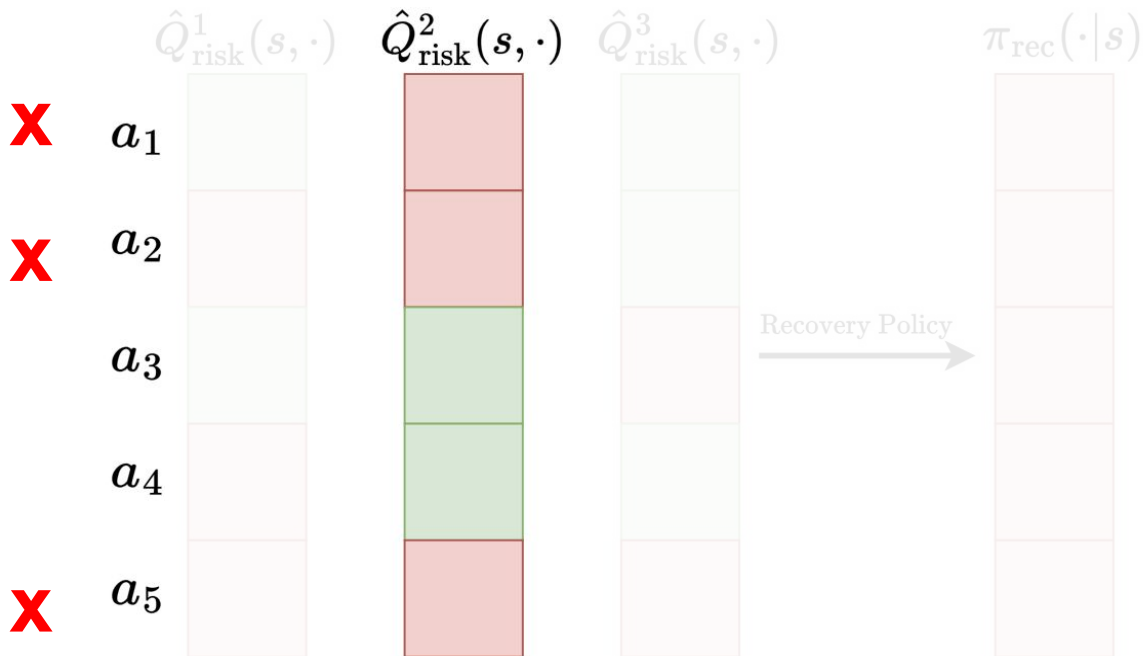
Constructing CIMRL

Mixed Policy: Unsafe Case



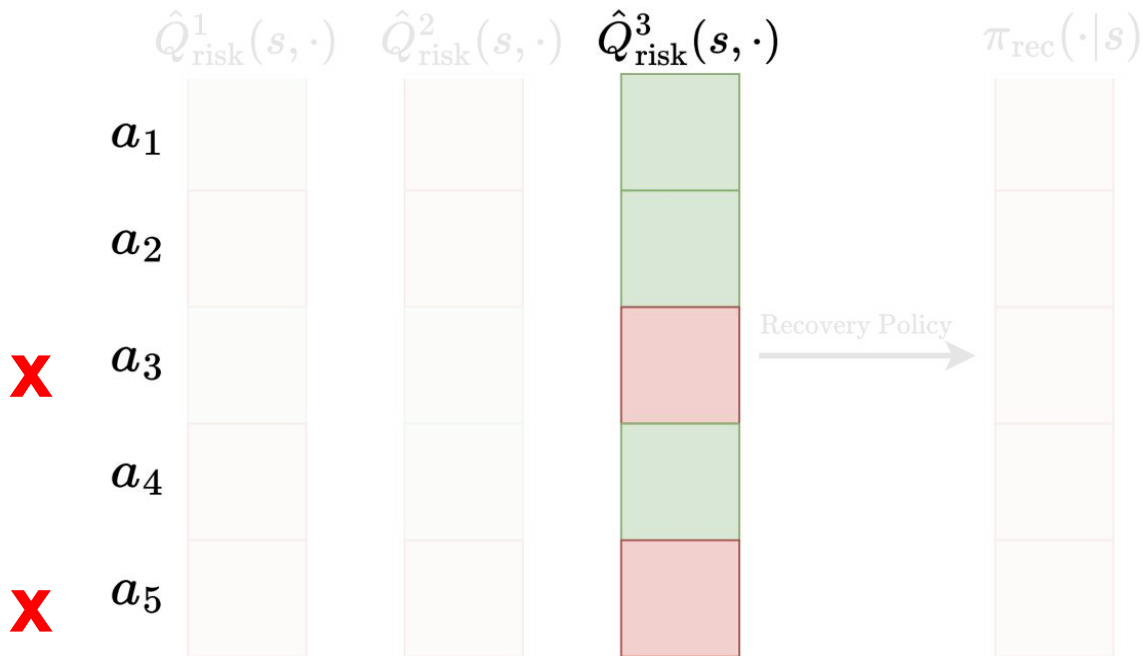
Constructing CIMRL

Mixed Policy: Unsafe Case



Constructing CIMRL

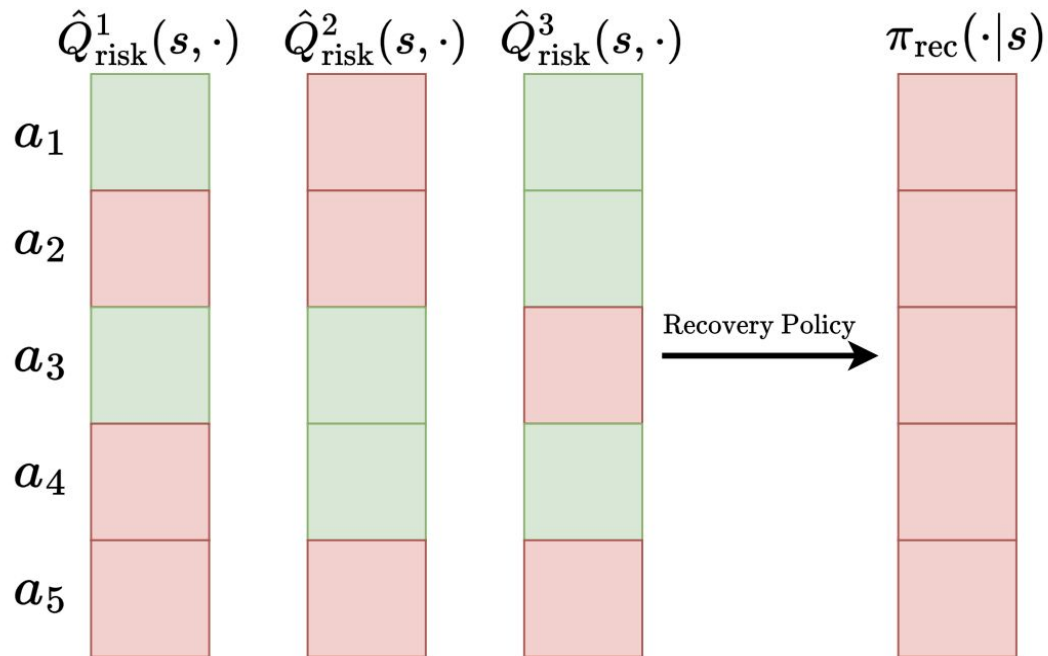
Mixed Policy: Unsafe Case

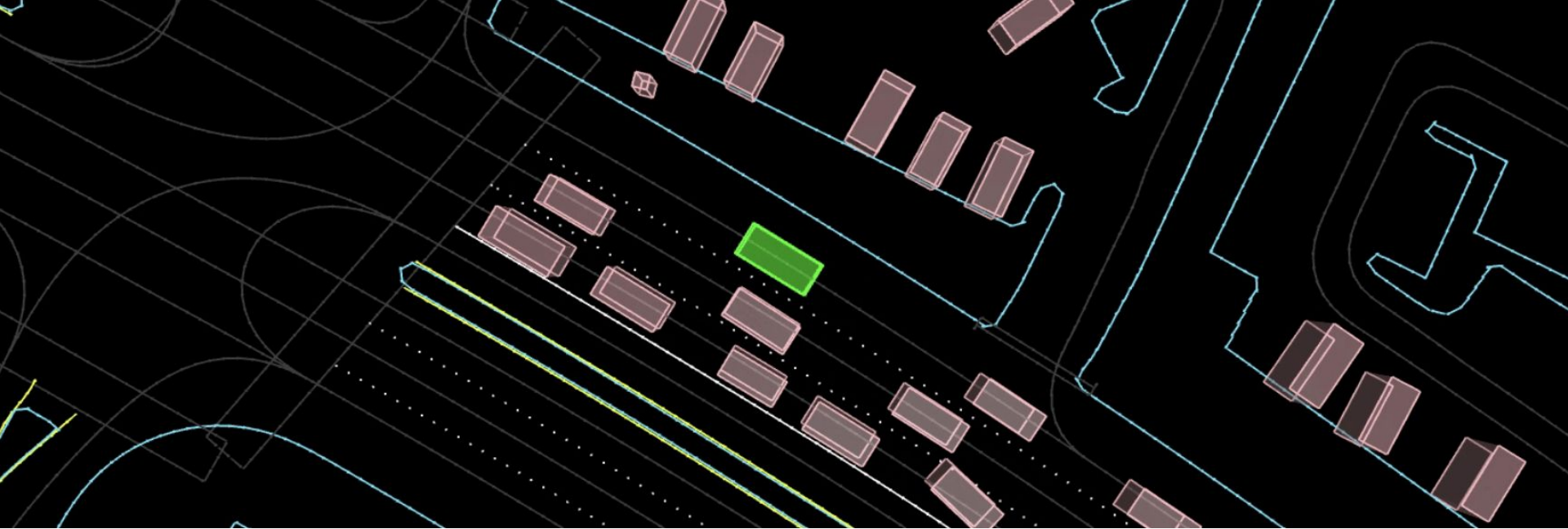


Constructing CIMRL

Mixed Policy: Unsafe Case

Otherwise sample from recovery policy





CIMRL

Closed-Loop Simulator

Waymax:

- Can be used for training
- Data-driven
- TPU / GPU support

<https://waymo.com/research/waymax/>

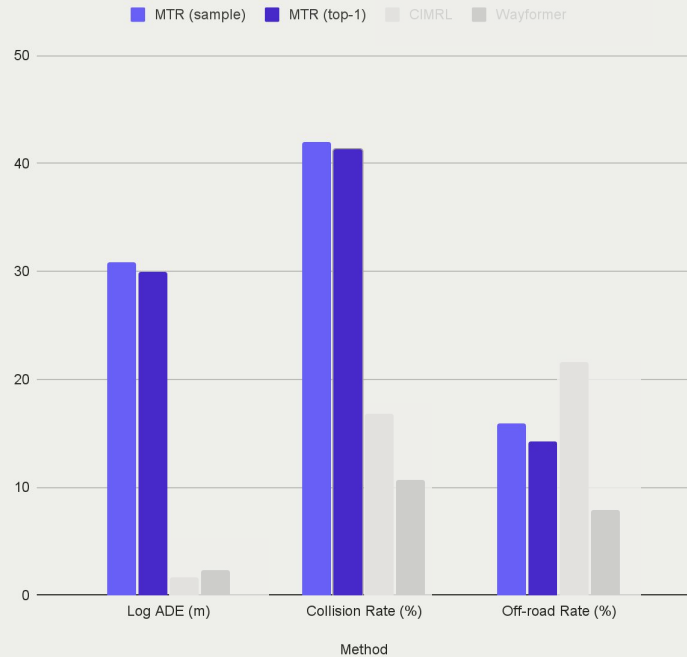
Gulino, Cole, et al. "Waymax: An accelerated, data-driven simulator for large-scale autonomous driving research." 2023.



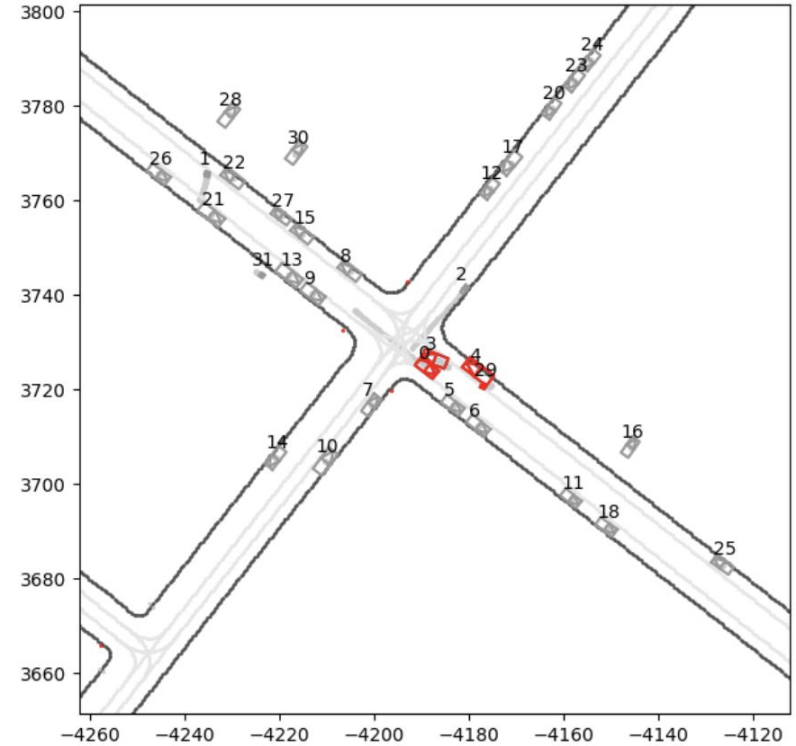
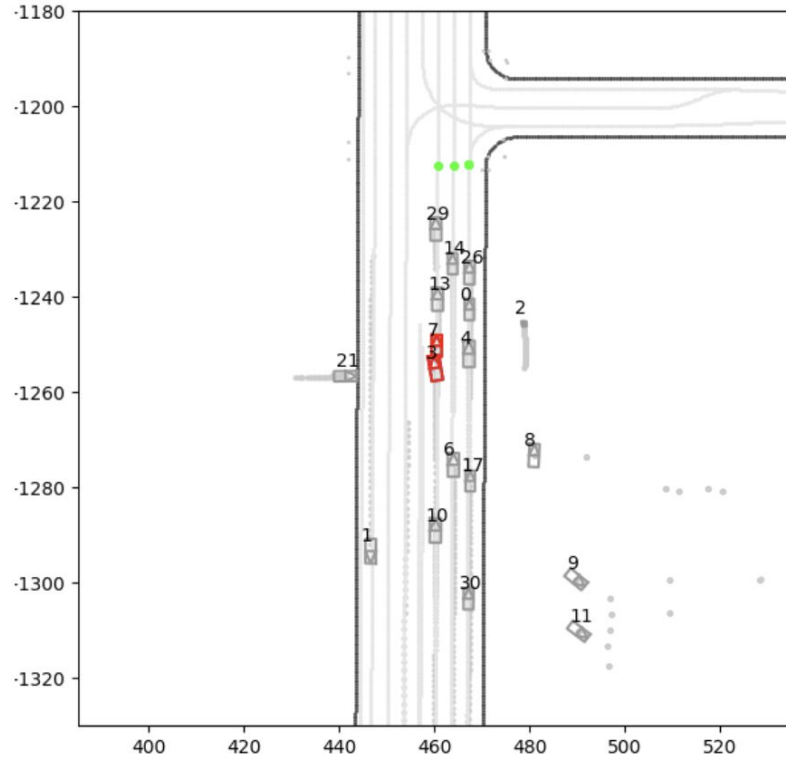
Closed-Loop Results: Waymax

- Kinematic Feasibility: pretty meaningless for any Prediction-based method
- Route progress ratio: do not have the access to route info (*sdc_path*)

Using Waymax: No Sim Agents, Delta Action Space



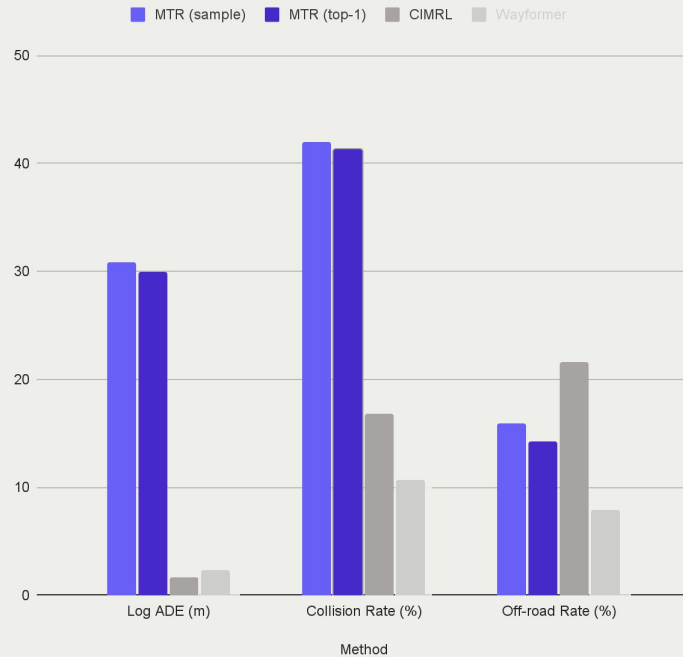
Open-Loop model in Closed-Loop



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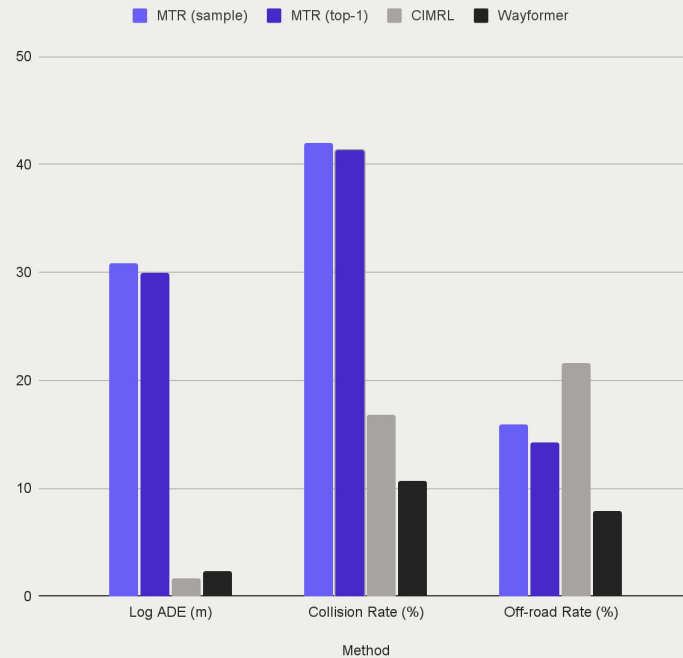
Using Waymax: No Sim Agents, Delta Action Space



Closed-Loop Results: Waymax

Wayformer has the access to route info :)

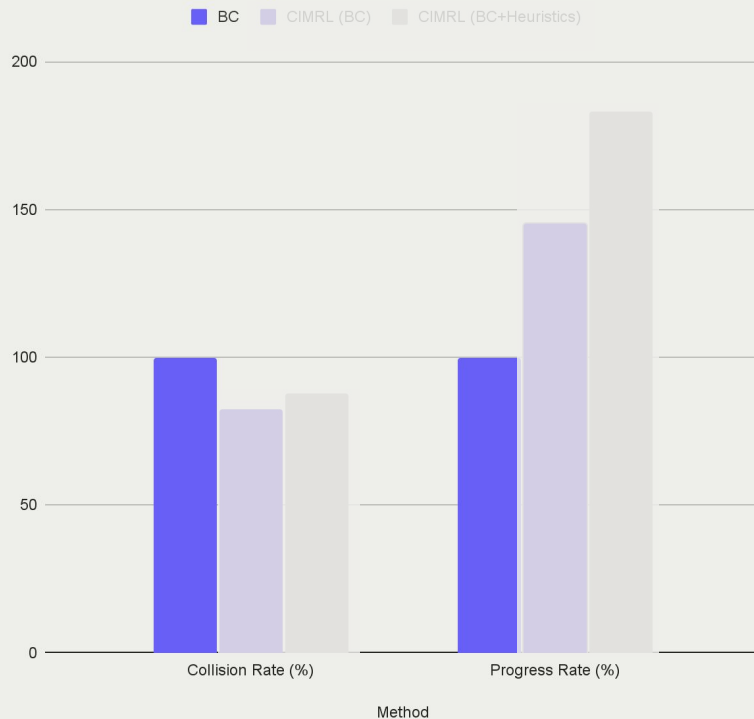
Using Waymax: No Sim Agents, Delta Action Space



Closed-Loop Results: In-house

- Challenging interactive in-house scenes where log pose divergence is usually inevitable
- Route progress ratio: makes sense
- Log ADE: doesn't

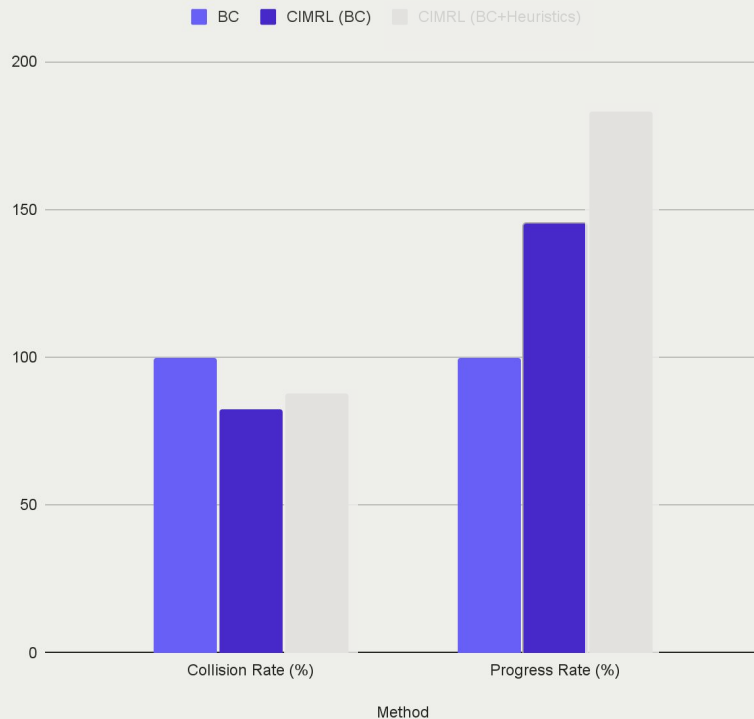
Using Internal data and Sim (Log replay)



Closed-Loop Results: In-house

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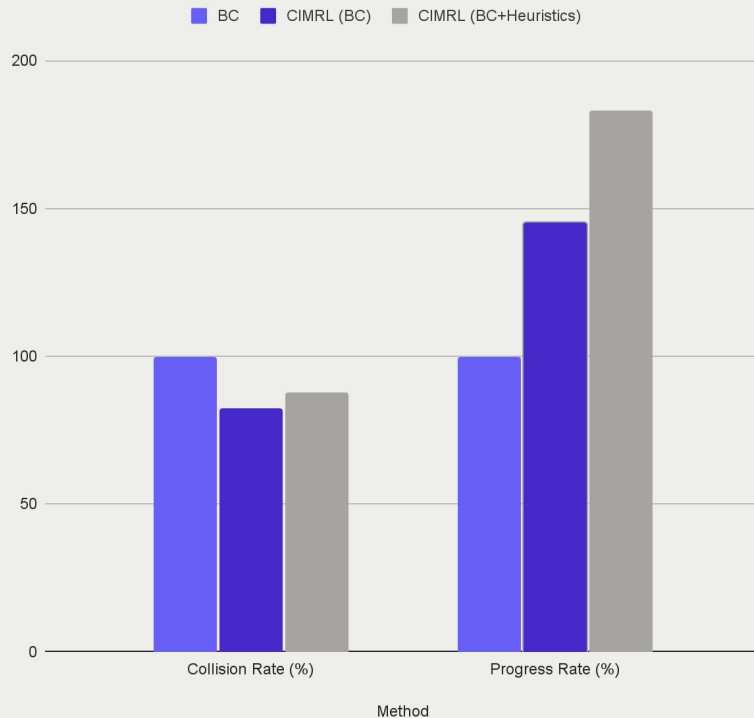
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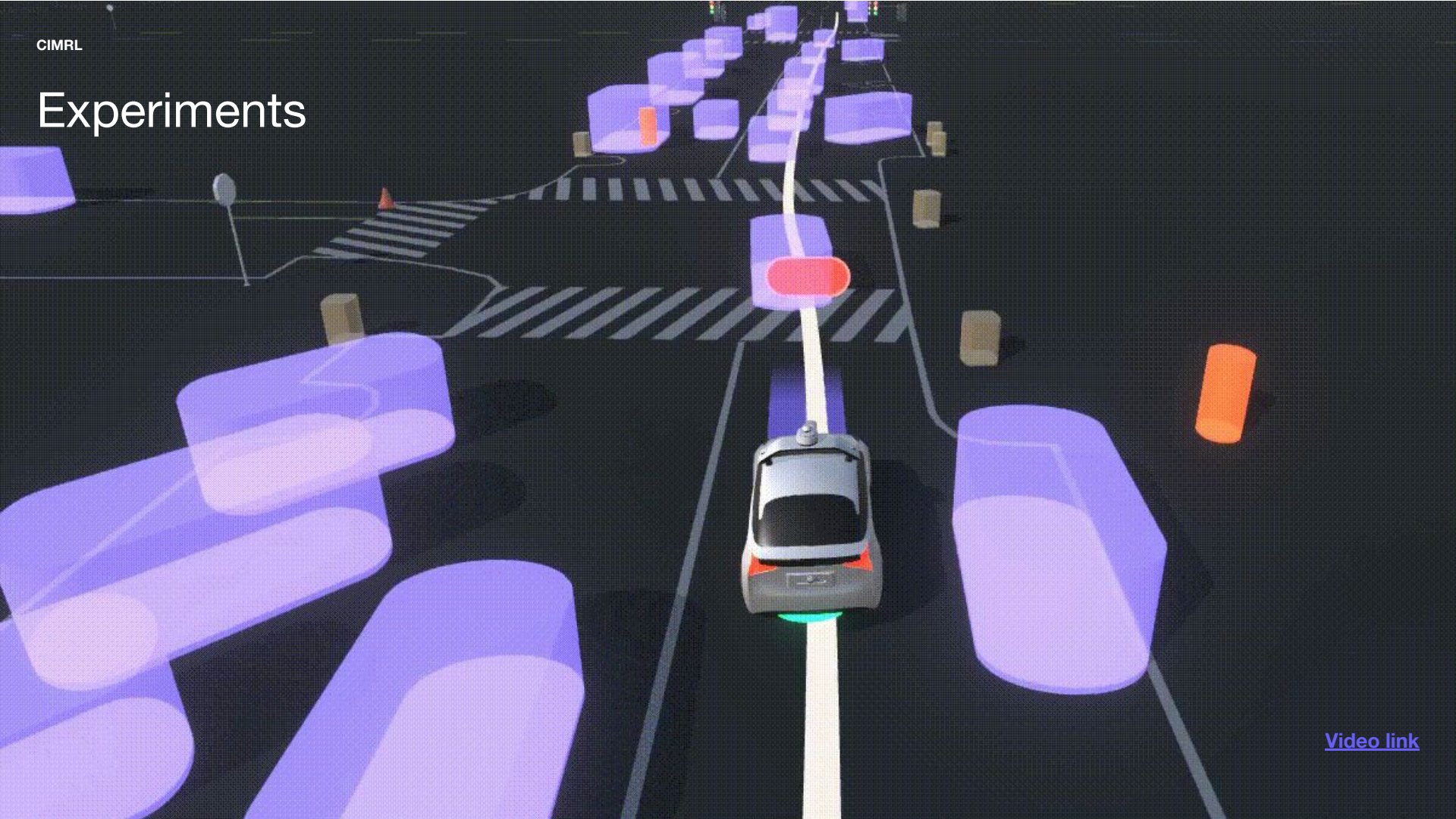
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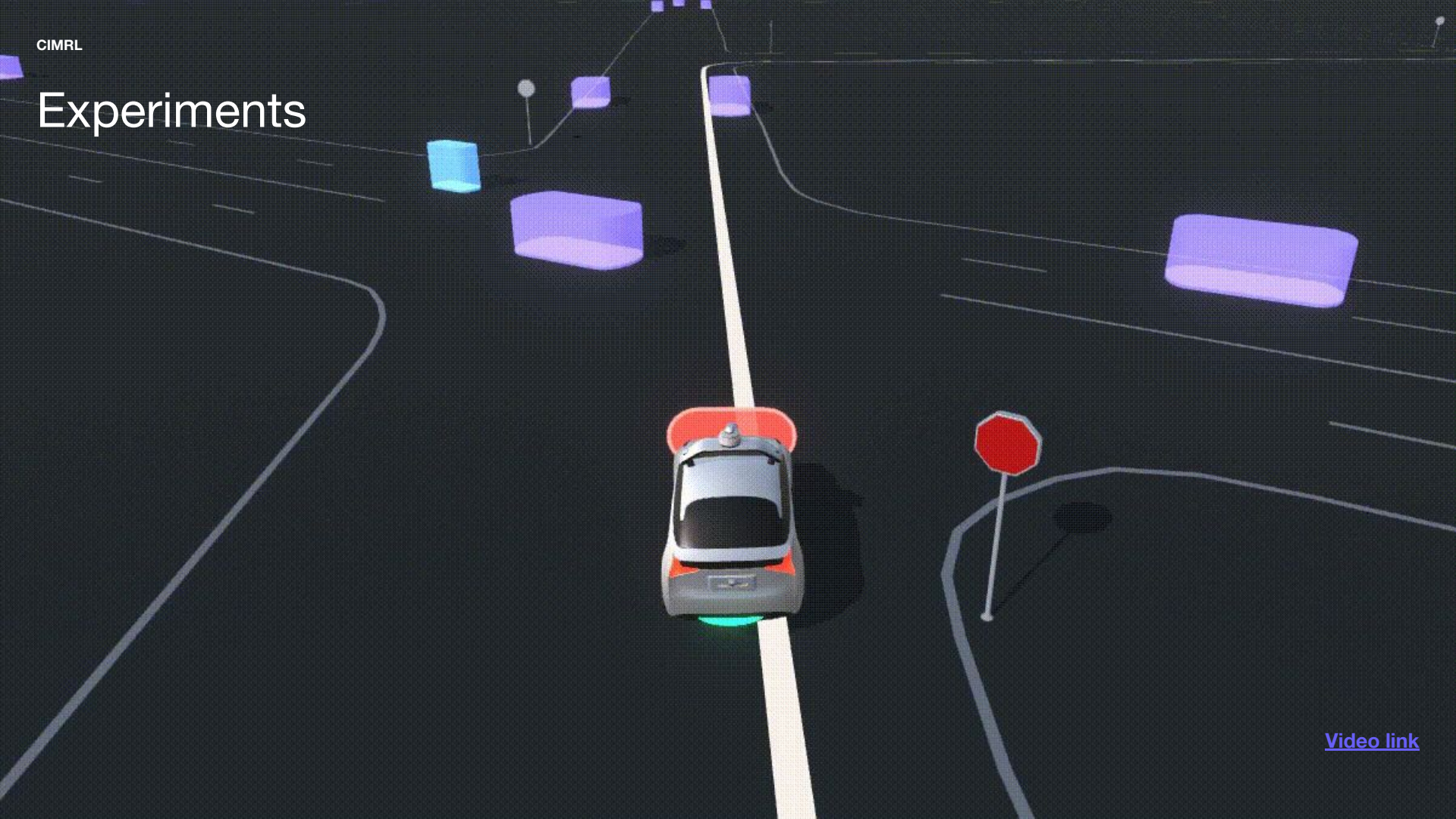


Experiments



[Video link](#)

Experiments



[Video link](#)

CIMRL: Limitations

... And still dependent on the quality of the underlying ego plan generation procedure.

①

Reward definition is not straightforward (but *mitigatable*)

②

Rare sparse events are challenging to learn (i.e. *collisions*) esp. for advanced planners

③

Sample inefficient – takes many simulation steps to learn (*huge* state-action space)



Conclusions

01

CIMRL is really scalable and flexible framework of combining approaches

02

Learning selection provides long-horizon reasoning

03

There is no such a thing as “too much safety” :(



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